



NRS Technology Solutions For Investment Advisers

For Compliance Confidence
With Far Greater Efficiency

NRS[™]

» Compliance Confidence

www.nrs-inc.com



NRS Technology Solutions Efficiently Deliver Compliance Confidence

Designed to be the backbone of your firm's compliance infrastructure, NRS technology solutions, ComplianceGuardian™, ComplianceMAX™, and Blue Sky Solutions, keep you confidently in compliance as rules and regulations change. Leverage one or any combination of our products to streamline processes, track activities, archive information and be audit-ready. Our technology products are modular, scalable and informed by NRS expert compliance professionals.

With NRS technology solutions, you can expeditiously develop compliance manuals; review ads; track registrations, disclosures, gifts and entertainment activities; and speed new funds compliantly through Blue Sky regulations.

On-boarding is fast and guided by NRS compliance experts. Your compliance data is clean and correct from the start. Our software is easy to use and pre-empts issues through clear calendaring and notifications. Our content has been developed by leading industry compliance professionals – with experience working in the private sector and for regulatory bodies. Add NRS consulting expertise and/or an NRS education program and cultivate a compliance culture within your firm.

NRS ComplianceGuardian™ Policies and Procedures Management puts your firm's policies and procedures swiftly in place. Our experts update content quarterly ensuring your firm meets current regulatory requirements.

NRS Monthly Compliance Program ensures critical compliance updates are met by speeding compliance tasks and providing helpful information as you complete each requirement.

NRS Ad Review aligns easily with your firm's unique existing policies and procedures and workflows. The NRS Ad Review compliance team provides swift on-boarding based on compliance best practices and real-world business demands.

NRS Disclosures and Attestations delivers a streamlined, verifiable submission process that eases the burden of disclosures and attestations for you and your registered representatives by automating, consolidating and centralizing the process.

NRS Form ADV Part 2A allows you to swiftly develop “plain English” disclosures. Designed by NRS compliance experts, it provides tools that quickly and accurately craft narratives of advisory business, services and conflicts of interest.

NRS Form ADV Part 2B solution is a flexible and scalable tool for meeting Form ADV Part 2B requirements that provides supplementals on specific individuals providing client advice or client servicing.



NRS for Technology, Consulting & Education

Whether your organization is large and seeking to streamline compliance workflow or small and needs to reduce time spent on compliance, NRS has solutions for the entire breadth of investment adviser compliance challenges.

NRS delivers robust proprietary software, deeply experienced consultant compliance professionals and education, from engaging conferences to skill building, exam prep and CE – each continually updated – for compliance confidence. We balance regulatory requirements

with real-world business demands for custom solutions designed to keep you in compliance and align with your business needs.

Reduce costs, increase efficiencies, stay informed and stay in compliance. Our offerings are flexible, comprehensive and backed by extraordinary customer care

Contact NRS

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For over 30 years as the nation's leader in compliance and registration products and services for financial services firms, NRS has helped clients guide their compliance strategy, minimize their risk and lower their costs. We utilize three synergistic product lines in technology, education and consulting to create customized compliance solutions for our clients.