

Blue Sky Solution

A clearly better solution!



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If your mutual fund is offering and selling shares, you can't avoid Blue Sky compliance. But you can reduce this business expense with industry expertise and innovative technology from NRS Blue Sky Solution options.

Blue Sky Solution Software

Innovative Software Technology
Intelligent and Simple

A software solution that is efficient, accurate and reduces risk and expenses.

- Enter information only once.
- Generate numerous filings with one click of the mouse.
- Automatically calculate fees, schedule renewals, and track filings until they are confirmed effective.

A software solution that is easy to learn and use.

- On-line review and approval process provides management controls that promote accuracy and ensure compliance with internal policies and procedures.
- Fully populated with blue sky legal knowledge that supports generation of notice filings in compliance with the requirements and fee calculations for all 50 states of the US, District of Columbia, Puerto Rico and US Virgin Islands.
- Monitor filing deadlines and analyze sales trends using graphical and numerical sales data and analysis tools.
- Software that supports growth in your business without the need to purge important historical data.



A software solution with extensive reporting capabilities.

- Software that interfaces with your transfer agent, broker-dealer and retirement plan record-keeping systems for automation of daily sales monitoring and reporting.
- Exception and warning reports that facilitates accurate monitoring and timely compliance with reporting and filing deadlines.
- Audit and expense reports that facilitates management reporting and over sight of your blue sky operation.



Blue Sky Solution Guide

Informative and Accurate

Consider our Blue Sky Guide for up-to-date information on the current Blue Sky Laws regarding notice filing requirements. An annual subscription includes online access to notice filing requirements in every state, including the District of Columbia, Guam, Puerto Rico and the U.S. Virgin Islands, for open and closed-end investment companies, unit investment trusts, and hedge funds. Your annual subscription also includes access to the Blue Sky professionals at NRS who are available to respond to your questions or concerns. Online access to our Blue Sky Guide is automatic for all software and outsourcing clients.

Blue Sky Solution Services

Outsourcing Alternative *Integrity and Experience*

All the complex regulations on offering and selling shares of your mutual fund or hedge fund can be overwhelming – missteps can be expensive. Blue Sky Solution Services handle the day-to-day Blue Sky responsibilities for you, freeing you from the burden of staffing, training and managing this operation. Our professionals are committed to helping you:

- Meet every deadline.
- Keep every permit current.
- Manage offering amounts and filing fees.
- Reduce potential liabilities and expenses.

You enjoy the confidence of working with detail-oriented professionals who understand the mutual fund and hedge fund industries. Our management and staff have extensive experience with securities regulation in every state. We focus on compliance details, so you can focus on growing assets.



NRS is dedicated to providing innovative technology that is intelligent and easy to use; outsourcing services handled by experienced professionals with integrity; and a Blue Sky Guide that is informative and accurate.

Trust the experience and integrity of the professionals at NRS!

Contact us to see how we can help you turn Blue Sky compliance into an asset.

Call today – 800-803-5764.

About NRS

NRS, a division of SourceMedia is dedicated to offering the most efficient, technologically up-to-date, cost-effective and business-focused compliance solutions, consulting services, conferences, and online seminars; and is the first to offer the Investment Adviser Compliance Professional Certificate ProgramSM to the financial services industry.

NRS has provided registration and compliance related services to the financial services industry since 1983. Through consulting or our technologies, NRS will assist your firm in meeting today's regulatory requirements. NRS can provide custom consulting, branch office audit technologies, online compliance tools, blue sky services, online seminars and conferences to assist compliance officers with the multitude of compliance requirements. Now with offices nationwide, our firm continues to be committed to investment advisers, broker-dealers, investment companies, insurance companies, and hedge fund managers.



A division of SourceMedia
88 Black Falcon Avenue
Suite 305
Boston, MA 02210
800-803-5764
www.nrs-inc.com